BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Petition to Revoke Probation Against:

HAROLD BROOKS THAYER, JR. 7301 Oakcreek Drive Stockton, California 95207-1436

Registered Nurse License No. 417887

Respondent

Case No. A 2001-37

OAH No. 2007010440

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as it's Decision in this matter.

This Decision shall become effective on November 2, 2007.

IT IS SO ORDERED November 2, 2007

President

Board of Registered Nursing Department of Consumer Affairs

State of California

EDMUND G. BROWN JR., Attorney General of the State of California 2 ARTHUR D. TAGGART Lead Supervising Deputy Attorney General 3 GEOFFREY S. ALLEN, State Bar No. 193338 Deputy Attorney General 4 California Department of Justice 1300 I Street, Suite 125 5 P.O. Box 944255 Sacramento, CA 94244-2550 6 Telephone: (916) 324-5341 Facsimile: (916) 327-8643 7 E-mail: Geoffrey.Allen@doi.ca.gov 8 Attorneys for Complainant 9 BEFORE THE BOARD OF REGISTERED NURSING 10 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 11 12 In the Matter of the Accusation and Petition to Case No. A 2001-37 Revoke Probation Against: 13 HAROLD BROOKS THAYER, JR. 14 7301 Oakcreek Drive STIPULATED SETTLEMENT AND Stockton, California 95207-1436 DISCIPLINARY ORDER 15 Registered Nurse License No. 417887 16 Respondent. 17 18 19 In the interest of a prompt and speedy settlement of this matter, consistent with 20 the public interest and the responsibility of the Board of Registered Nursing (Board) of the 21 Department of Consumer Affairs, the parties hereby agree to the following Stipulated Settlement 22 and Disciplinary Order which will be submitted to the Board for approval and adoption as the 23 final disposition of the Accusation and Petition to Revoke Probation. 24 **PARTIES** 25 1. Ruth Ann Terry, M.P.H., R.N (Complainant) is the Executive Officer of 26 the Board of Registered Nursing. Complainant brought this action solely in her official capacity 27 and is represented in this matter by Edmund G. Brown Jr., Attorney General of the State of 28

California, by Geoffrey S. Allen, Deputy Attorney General.

- 2. Respondent Harold Thayer (Respondent) is represented in this proceeding by attorney Timothy Aspinwall, whose address is Nossaman, Guthner, Knox & Elliott, LLP, 915 L Street, Suite 1000, Sacramento, California 95814.
- 3. On or about January 1, 1987, the Board issued Registered Nurse License Number 417887 (License) to Respondent. On November 7, 2003, pursuant to the Stipulated Settlement and Disciplinary Order adopted by the Board as its Decision in the disciplinary action titled In the Matter of the Accusation Against Harold Brooks Thayer, Jr., Case Number 2002-179, the Board ordered that Respondent's License be revoked effective December 8, 2003. The revocation was stayed and Respondent was placed on probation for three (3) years subject to terms and conditions. Respondent's License will expire on September 30, 2007, unless renewed.

JURISDICTION

4. Accusation and Petition to Revoke Probation No. A 2001-37 (Accusation/Petition to Revoke Probation) was filed before the Board, and is currently pending against Respondent. The Accusation/Petition to Revoke Probation and all other statutorily required documents were properly served on Respondent on December 6, 2006. Respondent timely filed his Notice of Defense contesting the Accusation/Petition to Revoke Probation. A copy of Accusation/Petition to Revoke Probation No. A 2001-37 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, discussed with counsel, and fully understands the charges and allegations in the Accusation/Petition to Revoke Probation.

 Respondent has also carefully read, discussed with counsel, and fully understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation/Petition to Revoke Probation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production

of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws

7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent admits the truth of each and every charge and allegation in Accusation/Petition to Revoke Probation. These admissions are made only for the purpose of these proceedings and for any agency which licenses nurses, and are not valid, effective, or admissible in any other legal proceedings.
- 9. Respondent agrees that his License is subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

OTHER MATTERS

The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.

DISCIPLINARY ORDER

In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

IT IS HEREBY ORDERED that the License issued to Respondent Harold
Thayer, is revoked. However, the revocation is stayed and Respondent is placed on probation
for three (3) years on the following terms and conditions.

Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

1. Obey All Laws. Respondent shall obey all federal, state and local laws.

 A full and detailed account of any and all violations of law shall be reported by Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, Respondent shall submit completed fingerprint forms and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

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Criminal Court Orders: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Board's Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.

Upon successful completion of probation, Respondent's License shall be fully restored.

- 3. Report in Person. Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- 4. Residency, Practice, or Licensure Outside of State. Periods of residency or practice as a registered nurse outside of California shall not apply toward a reduction of this probation time period. Respondent's probation is tolled, if and when he resides outside of California. Respondent must provide written notice to the Board within 15 days of any change of residency or practice outside the state, and within 30 days prior to re-establishing residency or returning to practice in this state.

Respondent shall provide a list of all states and territories where he has ever been licensed as a registered nurse, vocational nurse, or practical nurse. Respondent shall further provide information regarding the status of each license and any changes in such license status

during the term of probation. Respondent shall inform the Board if he applies for or obtains a new nursing license during the term of probation.

5. Submit Written Reports. Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

Respondent shall provide a copy of this Decision to the nursing regulatory agency in every state and territory in which he has a registered nurse license.

6. Function as a Registered Nurse. Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

For purposes of compliance with the section, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of his good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation shall apply.

7. Employment Approval and Reporting Requirements. Respondent shall obtain prior approval from the Board before commencing or continuing any employment, paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board all

 performance evaluations and other employment related reports as a registered nurse upon request of the Board.

Respondent shall provide a copy of this Decision to his employer and immediate supervisors prior to commencement of any nursing or other health care related employment.

In addition to the above, Respondent shall notify the Board in writing within seventy-two (72) hours after he obtains any nursing or other health care related employment. Respondent shall notify the Board in writing within seventy-two (72) hours after he is terminated or separated, regardless of cause, from any nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination or separation.

8. **Supervision.** Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing or continuing any employment as a registered nurse, or education and training that includes patient care.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board of Registered Nursing, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

- (a) Maximum The individual providing supervision and/or collaboration is present in the patient care area or in any other work setting at all times.
- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.
- (c) Minimum The individual providing supervision and/or collaboration has person-to-person communication with Respondent at least twice during each shift worked.
- (d) Home Health Care If Respondent is approved to work in the home health care setting, the individual providing supervision and/or collaboration shall have person-to-person communication with Respondent as required by the Board each work day. Respondent

shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual providing supervision and/or collaboration shall conduct, as required by the Board, periodic, on-site visits to patients' homes visited by Respondent with or without Respondent present.

9. Employment Limitations. Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, a traveling nurse, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor of registered nurses. The Board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

10. Complete a Nursing Course(s). Respondent, at his own expense, shall enroll and successfully complete a course(s) relevant to the practice of registered nursing no later than six months prior to the end of his probationary term.

Respondent shall obtain prior approval from the Board before enrolling in the course(s). Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

11. Cost Recovery. Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of \$ 1,366.25. Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of his good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation will apply.

12. Violation of Probation. If Respondent violates the conditions of his probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation) of Respondent's license.

If during the period of probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be extended and shall not expire until the accusation or petition has been acted upon by the Board.

Decision, Respondent, at his expense, shall have a licensed physician, nurse practitioner, or physician assistant, who is approved by the Board before the assessment is performed, submit an assessment of the Respondent's physical condition and capability to perform the duties of a registered nurse. Such an assessment shall be submitted in a format acceptable to the Board. If medically determined, a recommended treatment program will be instituted and followed by the Respondent with the physician, nurse practitioner, or physician assistant providing written reports to the Board on forms provided by the Board.

If Respondent is determined to be unable to practice safely as a registered nurse,

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the licensed physician, nurse practitioner, or physician assistant making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and shall not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required until the Board has notified Respondent that a medical determination permits Respondent to resume practice. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

Dependence. Respondent, at his expense, shall successfully complete during the probationary period or shall have successfully completed prior to commencement of probation a Board-approved treatment/rehabilitation program of at least six months duration. As required, reports shall be submitted by the program on forms provided by the Board. If Respondent has not completed a Board-approved treatment/rehabilitation program prior to commencement of probation, Respondent, within 45 days from the effective date of the decision, shall be enrolled in a program. If a program is not successfully completed within the first nine months of probation, the Board shall consider Respondent in violation of probation.

Based on Board recommendation, each week Respondent shall be required to attend at least one, but no more than five 12-step recovery meetings or equivalent (e.g., Narcotics Anonymous, Alcoholics Anonymous, etc.) and a nurse support group as approved and directed by the Board. If a nurse support group is not available, an additional 12-step meeting or

 equivalent shall be added. Respondent shall submit dated and signed documentation confirming such attendance to the Board during the entire period of probation. Respondent shall continue with the recovery plan recommended by the treatment/rehabilitation program or a licensed mental health examiner and/or other ongoing recovery groups.

Abstain from Use of Psychotropic (Mood-Altering) Drugs.

Respondent shall completely abstain from the possession, injection or consumption by any route of all controlled substances and all psychotropic (mood altering) drugs, including alcohol, except when the same are ordered by a health care professional legally authorized to do so as part of documented medical treatment. Respondent shall have sent to the Board, in writing and within fourteen (14) days, by the prescribing health professional, a report identifying the medication, dosage, the date the medication was prescribed, the Respondent's prognosis, the date the medication will no longer be required, and the effect on the recovery plan, if appropriate.

Respondent shall identify for the Board a single physician, nurse practitioner or physician assistant who shall be aware of Respondent's history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled substances or mood-altering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis Respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances.

The Board may require the single coordinating physician, nurse practitioner, or physician assistant to be a specialist in addictive medicine, or to consult with a specialist in addictive medicine.

participate in a random, biological fluid testing or a drug screening program which the Board approves. The length of time and frequency will be subject to approval by the Board. Respondent is responsible for keeping the Board informed of Respondent's current telephone number at all times. Respondent shall also ensure that messages may be left at the telephone number when he is not available and ensure that reports are submitted directly by the testing

 agency to the Board, as directed. Any confirmed positive finding shall be reported immediately to the Board by the program and Respondent shall be considered in violation of probation.

In addition, Respondent, at any time during the period of probation, shall fully cooperate with the Board or any of its representatives, and shall, when requested, submit to such tests and samples as the Board or its representatives may require for the detection of alcohol, narcotics, hypnotics, dangerous drugs, or other controlled substances.

If Respondent has a positive drug screen for any substance not legally authorized and not reported to the coordinating physician, nurse practitioner, or physician assistant, and the Board files a petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to participate in a random, biological fluid testing or drug screening program within the specified time frame, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. After taking into account documented evidence of mitigation, if the Board files a petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

17. Mental Health Examination. Respondent shall, within 45 days of the effective date of this Decision, have a mental health examination including psychological testing as appropriate to determine his capability to perform the duties of a registered nurse. The examination will be performed by a psychiatrist, psychologist or other licensed mental health practitioner approved by the Board. The examining mental health practitioner will submit a written report of that assessment and recommendations to the Board. All costs are the responsibility of Respondent. Recommendations for treatment, therapy or counseling made as a result of the mental health examination will be instituted and followed by Respondent.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed mental health care practitioner making this determination shall immediately notify 2 3 4

 the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and may not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required, until the Board has notified Respondent that a mental health determination permits Respondent to resume practice. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

- 18. Therapy or Counseling Program. Respondent, at his expense, shall participate in an on-going counseling program until such time as the Board releases him from this requirement and only upon the recommendation of the counselor. Written progress reports from the counselor will be required at various intervals.
- 19. License Surrender. During Respondent's term of probation, if he ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation, Respondent may surrender his License to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's License shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the

1	following minimum periods from the effective date of the disciplinary decision:	
2	(1) Two years for reinstatement of a license that was surrendered for any	
3	reason other than a mental or physical illness; or	
4	(2) One year for a license surrendered for a mental or physical illness.	
5	<u>ACCEPTANCE</u>	
6	I have carefully read the above Stipulated Settlement and Disciplinary Order and	
7	have fully discussed it with my attorney, Timothy Aspinwall. I understand the stipulation and	
8	the effect it will have on my License. I enter into this Stipulated Settlement and Disciplinary	
9	Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order	
10	of the Board.	
11	DATED: 07-27-07	
12		
13	HARDIDTHAVER THAY	
14	Respondent	
15	I have read and fully discussed with Respondent Harold Thayer the terms and	
16	conditions and other matters contained in the above Stipulated Settlement and Disciplinary	
17	Order. I approve its form and content.	
18	DATED: 7/27/07	
19		
20	TIMOTHY ASPINWALL	
21	Attorney for Respondent	
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ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board.

DATED: 7/27/07

EDMUND G. BROWN JR., Attorney General of the State of California

GEOFFREY S. ALLEN
Deputy Attorney General
Attorneys for Complainant

DOJ Matter ID: \$A2006102812 10357343.wpd

Exhibit A

1	BILL LOCKYER, Attorney General			
2	of the State of California GEOFFREY S. ALLEN, State Bar No. 193338 Deputy Attorney General California Department of Justice			
3				
4	1300 I Street, Suite 125 P.O. Box 944255			
5	Sacramento, CA 94244-2550 Telephone: (916) 324-5341			
6	Facsimile: (916) 327-8643			
7	Attorneys for Complainant			
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9	BEFORE THE			
10	BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS			
11	STATE OF CALIFORNIA			
12	In the Matter of the Accusation and Petition to	Case No. A 2001 37		
13	Revoke Probation Against:	ACCUSATION AND PETITION		
14	HAROLD BROOKS THAYER, JR. 7301 Oakcreek Drive	TO REVOKE PROBATION		
15	Stockton, California 95207-1436			
16	Registered Nurse License No. 417887			
17	Respondent.			
18	Complainant alleges:			
19	PARTIE	CS .		
20		. ("Complainant") brings this Accusation and		
21	Petition to Revoke Probation solely in her official capacity as the Executive Officer of the Board			
22	of Registered Nursing ("Board"), Department of Consumer Affairs.			
23	2. On or about January 1, 1987, the Board issued Registered Nurse License			
24	Number 417887 to Harold Brooks Thayer, Jr. ("Respondent"). On November 7, 2003, pursuant			
25	to the Stipulated Settlement and Disciplinary Order adopted by the Board as its Decision in the			
26	disciplinary action titled In the Matter of the Accusation Against Harold Brooks Thayer, Jr.,			
27	Case Number 2002-179, the Board ordered that Res	y e ³		
	4	7		

effective December 8, 2003. The revocation was stayed and Respondent was placed on

probation for three (3) years subject to terms and conditions, as more particularly set forth in paragraphs 12 through 15 below. Respondent's registered nurse license will expire on September 30, 2007, unless renewed.

STATUTORY PROVISIONS

- 3. Business and Professions Code ("Code") section 2750 provides, in pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
- 4. Code section 2764 provides, in pertinent part, that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary proceeding against the licensee or to render a decision imposing discipline on the license. Under Code section 2811, subdivision (b), the Board may renew an expired license at any time within eight years after the expiration.
 - 5. Code section 2761 states, in pertinent part:

The board may take disciplinary action against a certified or licensed nurse or deny an application for a certificate or license for any of the following:

- (a) Unprofessional conduct, which includes, but is not limited to, the following:
- (1) Incompetence, or gross negligence in carrying out usual certified or licensed nursing functions . . .
 - 6. California Code of Regulations, title 16, section ("Regulation") 1442

As used in Section 2761 of the code, 'gross negligence' includes an extreme departure from the standard of care which, under similar circumstances, would have ordinarily been exercised by a competent registered nurse. Such an extreme departure means the repeated failure to provide nursing care as required or failure to provide care or to exercise ordinary precaution in a single situation which the nurse knew, or should have known, could have jeopardized the client's health or life.

Cost Recovery

7. Code section 125.3 provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or

violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation 1 2 and enforcement of the case. 3 **ACCUSATION** 4 FIRST CAUSE FOR DISCIPLINE 5 (Gross Negligence) 8. 6 Respondent is subject to disciplinary action pursuant to Code section 7 2761, subdivision (a)(1), on the grounds of unprofessional conduct, in that in or about April 8 2006, while employed as a registered nurse for Meadowood Health and Rehabilitation Center, 9 Stockton, California, Respondent was guilty of gross negligence in his care of a resident within 10 the meaning of Regulation 1442. Respondent, by his own admission, administered cough syrup (Phenergan) to the resident without a physician's order. 11 SECOND CAUSE FOR DISCIPLINE 12 13 (Unprofessional Conduct) 14 9. Respondent is subject to disciplinary action pursuant to Code section 2761, subdivision (a), in that in or about April 2006, while employed as a registered nurse for 15 16 Meadowood Health and Rehabilitation Center, Stockton, California, Respondent committed an 17 act constituting unprofessional conduct, as set forth in paragraph 8 above. 18 PETITION TO REVOKE PROBATION 19 10. Condition 12 of Respondent's probation states, in pertinent part, that if 20 Respondent violates the conditions of his probation, the Board after giving Respondent notice and 21 an opportunity to be heard, may set aside the stayed order and impose the stayed discipline 22 (revocation) of Respondent's license. 23 /// 24 III25 26 1. "Phenergan," a brand of promethazine hydrochloride, is an antihistamine used to treat allergic symptoms. 27 It is also used to cause sedation, to assist in controlling postoperative pain, to control nausea and vomiting (especially after surgery), and to prevent motion sickness. Phenergan is also a dangerous drug within the meaning

of Code section 4022 in that it requires a prescription under federal law.

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1	11. Grounds exist to revoke Respondent's probation and reimpose the order of		
2	revocation of his registered nurse license in that he has violated the terms and conditions of his		
3	probation as follows:		
4	FIRST CAUSE TO REVOKE PROBATION		
5	(Failure to Comply with Probation Program)		
6	12. Condition 2 of Respondent's probation states, in pertinent part, that		
7	Respondent shall fully comply with the terms and conditions of the Probation Program established		
8	by the Board.		
9	13. Respondent's probation is subject to revocation in that he has failed to fully		
10	comply with the terms and conditions of his Probation Program, as set forth in paragraph 15		
11	below.		
12	SECOND CAUSE TO REVOKE PROBATION		
13	(Failure to Function as a Registered Nurse)		
14	14. Condition 6 of Respondent's probation states, in pertinent part, that		
15	Respondent, during the period of probation, shall engage in the practice of registered nursing in		
16	California for a minimum of 24 hours per week for six consecutive months.		
17	15. Respondent's probation is subject to revocation in that he has failed to		
18	engage in the practice of registered nursing for a minimum of 24 hours per week for six		
19	consecutive months.		
20	PRAYER		
21	WHEREFORE, Complainant requests that a hearing be held on the matters herein		
22	alleged, and that following the hearing, the Board of Registered Nursing issue a decision:		
23	1. Revoking or suspending Registered Nurse License Number 417887, issued		
24	to Harold Brooks Thayer, Jr.;		
25	2. Revoking probation and reimposing the order of revocation of Registered		
26	Nurse License Number 417887, issued to Harold Brooks Thayer, Jr.;		
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1	3. Ordering Harold Brooks Thayer, Jr. to pay the Board of Registered Nursin		
2	the reasonable costs of the investigation and enforcement of this case, pursuant to Business and		
3	Professions Code section 125.3;		
4	4. Taking such other and further action as deemed necessary and proper.		
5	DATED: 11(70/06		
6	D to Da T		
7	RUTH ANN TERRY, M.P.H., R.N.		
8	Executive Officer Board of Registered Nursing Department of Consumer Affairs State of California		
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10	Complainant		
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03579110-SA2006102812

Thayer.acc.wpd phd; 10/24/2006

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BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	Case No. 2002-179		
HAROLD BROOKS THAYER, Jr. 7301 Oakcreek Drive Stockton, CA 95207	·		
Registered Nurse License No. 417887			
Respondent			
DECISION AND ORDER			
The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as it's Decision in the above entitled matter.			
This Decision shall become effective on			
IT IS SO ORDERED			

FOR THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS

Sandra L. Enickson

1 BILL LOCKYER, Attorney General of the State of California 2 JOEL S. PRIMES, State Bar No. 42568 Deputy Attorney General 3 California Department of Justice 1300 I Street, Suite 125 4 P.O. Box 944255 Sacramento, CA 94244-2550 5 Telephone: (916) 324-5340 Facsimile: (916) 327-8643 6 Attorneys for Complainant 8 BOARD OF REGISTERED NURSING 9 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 10 11 In the Matter of the Accusation Against: 12 HAROLD BROOKS THAYER, JR. 7301 Oakcreek Drive 13 Stockton, California 95207

Registered Nurse License No. 417887

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Case No. AGN 2002-179

STIPULATED SETTLEMENT AND **DISCIPLINARY ORDER**

Respondent.

In the interest of a prompt and speedy settlement of this matter, consistent with the public interest and the responsibility of the Board of Registered Nursing of the Department of Consumer Affairs, (hereinafter "Board"), the parties hereby agree to the following Stipulated Settlement and Disciplinary Order which will be submitted to the Board for its approval and adoption as the final disposition of the pending Accusation.

BEFORE THE

Respondent Harold Thayer, (hereinafter "Respondent"), and complainant, Ruth Ann Terry, R.N., Executive Officer, Board of Registered Nursing, Department of Consumer Affairs, State of California, through her counsel, Deputy Attorney General, Joel S. Primes, hereby stipulate that the following matters are true.

1. Ruth Ann Terry, M.P.H., R.N. ("Complainant") brings this Accusation solely in her official capacity as the Executive Officer of the Board of Registered Nursing, Department of Consumer Affairs,

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2. On or about August 31, 19876, the Board issued Registered Nurse License Number 417887 to Harold Brooks Thayer, Jr. (Respondent). The license was in full force and effect at all times relevant to the charges brought herein and will expire on September 30, 2003, unless renewed.

3. Respondent was duly served with the Accusation, which is on file and pending in case No. 2002-179, and accompanying documents. A copy of the Accusation is attached hereto as an exhibit and is incorporated by reference as though set forth at length herein. Respondent is subject to the jurisdiction of the Board in regard to the matters alleged in the Accusation.

WAIVER OF RIGHTS

- 4. Respondent has read and understands the charges and allegations of violations of the Business and Professions Code contained in the Accusation and his rights under the Administrative Procedure Act.
- 5. Respondent is aware of and understands his right to retain legal counsel to advise and represent him in this matter. To that extent, Respondent has hired Timothy Aspinwall, Attorney at Law, Sacramento, California, to advise him.
- 6. Respondent withdraws the Notice of Defense previously filed in this matter, and agrees to be bound by the terms of this Stipulation, and of the Decision and Order, and freely and voluntarily waives any rights he may have in this proceeding to a hearing on the charges and allegations contained in the Accusation, to reconsideration, to appeal, to judicial review, and to all other rights which he may have under the California Administrative Procedures Act and the laws and regulations of the State of California.

BASIS FOR DISCIPLINE

7. Respondent admits the truth of each and every factual allegations contained in Accusation No. 2002-179, and further admits that cause exists for the discipline of R.N. License No. 417887 issued to Respondent. These admissions are made only for the purpose of these proceedings and for any agency which licenses nurses, and are not valid, effective, or admissible in any other legal proceedings.

Grounds exist for the discipline of Respondent's license under Business and Professions Code sections 2736, 2750, 2761(a), Health and Safety Code section 11173(a):

FIRST CAUSE FOR DISCIPLINE

(Diversion, Possession and Self-Administration of Controlled Substances)

- 8. Respondent is subject to disciplinary action under Code section 2761(a) on the grounds of unprofessional conduct, as defined by Code section 2762(a), in that in and before November 1996, while on duty as a registered nurse in the Oncology Unit at St. Joseph's Medical Center, Stockton, California, Respondent did the following:
- a. Respondent obtained an unknown quantity of Demerol, a controlled substance, by fraud, deceit, misrepresentation or subterfuge in violation of Health and Safety Code section 11173(a), by withdrawing, for his own personal use, Demerol from the medical center's Pyxis medication stations located at Units 4W1 and 4W2 for various patients in amounts which were in excess of the doses ordered by each patient's physician and/or failing to chart the administration of the Demerol in the Medication Administration Record or the nurses' notes as more particularly set forth in paragraph 11 below.
- b. Respondent possessed an unknown quantity of Demerol, a controlled substance, in violation of Code 4060.
- c. Respondent self-administered an unknown quantity of Demerol, a controlled substance, without lawful authority therefor.

SECOND CAUSE FOR DISCIPLINE

(False Entries in Hospital, Patient or Other Records)

9. Respondent is subject to disciplinary action under Code section 2761(a) on the grounds of unprofessional conduct, as defined by Code section 2762(e), in that while on duty as a registered nurse in the Oncology Unit at St. Joseph's Medical Center, Stockton, California, Respondent falsified, made grossly incorrect, grossly inconsistent, or unintelligible entries in hospital and patient records in the following respects:

Patient I.D. No. 017371162:

- a. On November 16, 1996, at 0742 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W2 for Patient I.D. No. 017371162, withdrew a second dose of Demerol 75 mg from the Pyxis at Unit 4W1 for the same patient (for a total of 150 mg of Demerol) at 0745 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 150 mg of Demerol.
- b. On November 16, 1996, at 1420 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, withdrew a second dose of Demerol 75 mg from the Pyxis at Unit 4W2 for the same patient (for a total of 150 mg of Demerol) at 1435 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 150 mg of Demerol.
- c. On November 16, 1996, at 1733 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- d. On November 16, 1996, at 1945 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- e. On November 17, 1996, at 0730 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W2 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- f. On November 17, 1996, at 1030 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but

failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.

- g. On November 17, 1996, at 1327 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, withdrew Demerol 50 mg from the Pyxis at Unit 4W2 for the same patient (for a total of 125 mg of Demerol) at 1355 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 125 mg of Demerol.
- h. On November 17, 1996, at 1611 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of 75 mg of Demerol.
- i. On November 17, 1996, at 1721 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W2 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- j. On November 17, 1996, at 1856 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, withdrew a second dose of Demerol 75 mg from the Pyxis at unit 4W2 for the same patient (for a total of 150 mg of Demerol) at 1858 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 150 mg of Demerol.

Patient I.D. No. 017370552:

k. On November 16, 1996, at 0922 hours, Respondent withdrew Demerol 50 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017370552, but

failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 50 mg of Demerol.

- l. On November 16, 1996, at 1251 hours, Respondent withdrew Demerol 50 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No., 017370552, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 50 mg of Demerol.
- m. On November 16, 1996, at 1704 hours, Respondent withdrew Demerol 50 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017370552, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 50 mg of Demerol.
- n. On November 17, 1996, at 0842 hours, respondent withdrew Demerol 50 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017370552, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 50 mg of Demerol.
- o. On November 17, 1996, at 1217 hours, Respondent withdrew Demerol 50 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017370552, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 50 mg of Demerol.
- p. On November 17, 1996, at 1757 hours, Respondent withdrew Demerol 50 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017370552, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 50 mg of Demerol.

Patient I.D. No. 017279795:

q. On May 9, 1996, at 1756 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis for Patient I.D. No. 017279795, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.

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10. In the event this Stipulation is not adopted by the Board, the admissions made herein shall be null and void, and may not be used by the parties for any purpose.

It is understood by Respondent that, in deciding whether to adopt this Stipulation, the Board may receive oral and written communication from its staff and the Attorney General's Office. Communications pursuant to this paragraph shall not disqualify the Board or other persons from future participation in this or any other matter affecting Respondent. In the event this Stipulation is not adopted by the Board, the Stipulation will not become effective and may not be used for any purpose, except for this paragraph, which shall remain in effect.

WHEREFORE, it is stipulated that the Board of Registered Nursing may issue the following Decision and Order:

DECISION AND ORDER

Registered Nurse License No.: 417887 issued to Respondent, Harold Brooks
Thayer, Jr., is revoked the revocation is stayed and respondent placed on probation for a period
of three (3) years on the following terms and conditions:

Severability Clause - Each term and condition of probation contained herein is a separate and distinct term and condition. If any term and condition of this Order, or any application thereof, is declared unenforceable, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each term and condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

(1) OBEY ALL LAWS - Respondent shall obey all federal, state and local laws. A full and detailed account of any and all violations of law shall be reported by the Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring the compliance with this term, Respondent shall submit completed fingerprint cards and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process. Respondent shall submit a recent 2" x 2" photograph of himself within forty-five (45) days of the effective date of the final decision.

- (2) <u>COMPLY WITH PROBATION PROGRAM</u> Respondent shall fully comply with the terms and conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.
- (3) <u>REPORT IN PERSON</u> Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- shall be bound by the terms and conditions of the Disciplinary Order as of its effective date. However, the period of probation shall be tolled, and the terms and conditions of the Disciplinary Order shall be stayed until Respondent enters the State of California to practice nursing at a future date. With the exception of terms and conditions number 1, 4, and 12 set forth herein, which shall not be stayed and which shall be fully complied with by Respondent during the entire length of the probationary term. Respondent shall give written notice to the Board of Respondent's intention to practice nursing in California thirty (30) days prior to engaging in any activity requiring a registered nurse license issued by the Board. Failure to comply with the notice requirements shall constitute a violation of probation and a basis for revoking probation and Respondent's California registered nurse license.
- (5) <u>SUBMIT WRITTEN REPORTS</u> Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the terms and conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

<u>PROVIDE DECISION</u> - Respondent shall provide a copy of this decision to the nursing regulatory agency in every state and territory in which he has a registered nurse license.

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(6) <u>FUNCTION AS A REGISTERED NURSE</u> - Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for six consecutive months or as determined by the Board.

For purposes of compliance with the section, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing for a minimum of 24 hours per week for six consecutive months or as determined by the Board.

If the Respondent has not complied with this condition during the probationary term, and the Respondent has presented sufficient documentation of his good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of the Respondent's probation period up to one year without further hearing in order to comply with this condition.

(7) EMPLOYMENT APPROVAL AND REPORTING REQUIREMENTS Respondent shall obtain prior approval from the Board before commencing any employment,
paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board
all performance evaluations and other employment related reports as a registered nurse upon
request of the Board.

Respondent shall provide a copy of this decision to his employer and immediate supervisor prior to commencement of any nursing or other health care related employment.

Respondent shall notify the Board in writing seventy-two (72) hours after he obtains any nursing or other health care related employment, when such employment is not as a registered nurse. Respondent shall notify the Board in writing within seventy-two (72) hours after he is terminated from any registered nursing, other nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination.

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(8) <u>SUPERVISION</u> - Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing any employment as a registered nurse.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board of Registered Nursing, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

- (a) Maximum The individual providing supervision and/or collaboration is present in the patient care area or in any other work setting at all times.
- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.
- (c) Minimum The individual providing supervision and/or collaboration has person-to-person communication with Respondent as required by the Board each work day. Respondent shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual providing supervision and/or collaboration shall conduct, as required by the Board, periodic, on-site visits to patients' homes visited by the Respondent with or without Respondent present.
- (9) <u>EMPLOYMENT LIMITATIONS</u> Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor. The board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If the Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

- (10) <u>COMPLETE A NURSING COURSE(S)</u> Respondent shall complete with an appropriate requirement as specified in the decision:
- (a) Respondent, at his own expense, shall enroll and successfully complete a course(s) relevant to the practice of registered nursing no later than six months prior to the end of his probationary term; or
- (b) Respondent shall be suspended from the practice of registered nursing, until he has enrolled in and successfully completed a course(s) relevant to the practice of registered nursing.

Respondent shall obtain prior approval from the Board before enrolling in the course(s). Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

(11) <u>COST RECOVERY</u> - Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code Section 125.3 in the amount of \$5,000. Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.

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(12) <u>VIOLATION OF PROBATION</u> - If Respondent violates the conditions of his probation, the Board after giving Respondent notice and an opportunity to be heard may set aside the stayed order and impose the stayed discipline (revocation) of Respondent's license.

If during the period of probation, an Accusation or Petition to Revoke Probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an Accusation or Petition to Revoke Probation against the Respondent's license, the probationary period shall automatically be extended and shall not expire until the Accusation or Petition has been acted upon by the Board. Upon successful completion of probation, the Respondent's license will be fully restored.

the Board, Respondent, at his expense, shall have a licensed physician, nurse practitioner, or physician assistant, who is approved by the Board before the assessment is performed, submit an assessment of the Respondent's physical condition and capability to perform the duties of a registered nurse. Such an assessment shall be submitted in a format acceptable to the Board. If medically determined, a recommended treatment program will be instituted and followed by the Respondent with the physician, nurse practitioner, or physician assistant providing written reports to the Board on forms provided by the Board.

If Respondent is determined to be unable to practice safely as a registered nurse, the license physician, nurse practitioner, or physician assistant making this determination shall immediately notify the Probation program and Respondent by telephone. Respondent shall immediately cease practice and shall not resume practice until notified by the Probation Monitor. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required until the Probation Monitor has notified Respondent that a medical determination permits Respondent to resume practice.

(14) PARTICIPATE IN TREATMENT/REHABILITATION PROGRAM

FOR CHEMICAL DEPENDENCE - Respondent, at his expense, shall successfully complete during the probationary period or shall have successfully completed prior to commencement of

probation a board-approved treatment/rehabilitation program of at least six months. As required, reports shall be submitted by the program on forms provided by the Board. If Respondent has not completed a Board-approved treatment/rehabilitation program prior to commencement of probation, Respondent, within a reasonable time approved by the Board, shall be enrolled in a program. If a program is not successfully completed within the first nine months of probation, the Board shall consider Respondent in violation of probation.

Based on Probation Program recommendation, each week Respondent shall be required to attend at least one, but no more than five 12-step recovery meetings or equivalent (e.g., Narcotics Anonymous, Alcoholics Anonymous, etc.) and a nurse support group as approved and directed by the Board. If a nurse support groups is not available, an additional 12-step meeting or equivalent shall be added. Respondent shall submit dated and signed documentation confirming such attendance to the Board during the entire period of probation. Respondent shall continue with the recovery plan recommended by the treatment/rehabilitation program or a licensed mental health examiner and/or other ongoing recovery groups.

DRUGS - Respondent shall completely abstain from the possession, injection or consumption by any route of all psychotropic (mood altering) drugs, including alcohol, except when the same are ordered by a health care professional legally authorized to do so and are part of documented medical treatment. Respondent shall have sent to the Board, in writing and within 14 days, by the prescribing physician, or dentist, a report identifying the medication, dosage, the date the medication was prescribed, the Respondent's prognosis, the date the medication will no longer be required, and the effect on the recovery plan, if appropriate.

Respondent shall identify for the Board a physician, nurse practitioner or physician assistant who shall be aware of Respondent's history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled substances or mood altering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis Respondent's compliance with this

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condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances.

The Board may require the single coordinating physician, nurse practitioner, or physician assistant to be a specialist in addictive medicine, or to consult with a specialist in addictive medicine.

shall participate in a random, biological fluid testing or a drug screening program which the Board approves. The length of time and frequency will be subject to approval of the Board. The Respondent is responsible for keeping the Board informed of Respondent's current telephone number at all times. Respondent shall also ensure that messages may be left at the telephone number when he is not available and ensure that reports are submitted directly by the testing agency to the Board, as directed. Any confirmed positive finding shall be reported immediately to the Board by the program and Respondent shall be considered in violation of probation.

In addition, Respondent, at any time during the period of probation, shall fully cooperate with the Board or any of its representatives, and shall, when requested, submit to such tests and samples as the Board or its representatives may require for the detection of alcohol, narcotics, hypnotics, dangerous drugs, or other controlled substances.

If Respondent has a positive drug screen for any substance not legally authorized and not reported to the coordinating physician, nurse practitioner, or physician assistant, and the board files a Petition to Revoke Probation or an Accusation, the Board may suspend Respondent from practice pending the final decision on the Petition to Revoke Probation or the Accusation.

(17) MENTAL HEALTH EXAM/SUBSTANCE ABUSE ASSESSMENT Respondent shall, within a reasonable time approved by the Board, have a mental health
examination including psychological testing as appropriate to determine his capability to
perform the duties of a registered nurse. The examination must be performed by a license
psychiatrist, psychologist or other mental health practitioner, approved by the Board, who has

experience in the diagnosis and treatment of chemical dependency and alcoholism. The examiner must submit a written narrative report of the examination. All costs are the responsibility of the Respondent.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed mental health care practitioner making the determination shall immediately cease practice and may not resume practice until notified by the Probation Monitor. During the period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required, until the Probation Monitor has notified Respondent that a mental health determination permits Respondent to resume practice.

If the examiner discovers that the Respondent is or has been dependent upon drugs or alcohol or has had problems with drugs or alcohol (i.e., drug dependence in remission or alcohol dependence in remission), then the Respondent must further comply with the following additional terms and conditions of probation:

- (18) THERAPY OR COUNSELING PROGRAM Respondent, at his expense, shall participate in an ongoing counseling program until such time as the Board releases him from this requirement and only upon the recommendation of the counselor. Witten progress reports from the counselor will be required at various intervals.
- (19) <u>LICENSE SURRENDER</u> During Respondent's term of probation, if he ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation, Respondent may surrender his license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the following minimum periods from the effective date of the disciplinary decision:

- (1) Two years for reinstatement of a license that was surrendered for any reason other than a mental or physical illness; or
 - (2) One year for a license surrendered for a mental or physical illness.

IT IS FURTHER STIPULATED AND AGREED that the terms of this Stipulation shall be subject to the acceptance of the Board of Registered Nursing. If the Board fails to accept this Stipulation, it shall be of no force or effect for either party, and the matter will be regularly set for hearing.

DATED: 6-/7-03

BILL LOCKYER, Attorney General of the State of California

Deputy Attorney General

Attorneys for Complainant

ACCEPTANCE

I hereby state that I have read and understand the legal significance and consequence of this Stipulation, and I fully understand all of, and agree to be bound by, the terms of this document. I understand that I have certain rights under the California Administrative Procedure Act and the laws and regulations of the State of California in regard to this matter, and I knowingly and intelligently waive those rights.

I understand my right to retain legal counsel to advise me in this matter. To the extent that I have not exercised that right, I have done so knowingly and voluntarily.

I also understand that once I sign this Stipulation, I shall not be permitted to withdraw from it unless it is rejected by the Board.

I further understand that this Stipulation may not be accepted by the California Board of Registered Nursing, in which case it is of no effect.

I have carefully read the above Stipulated Settlement and Disciplinary Order, and I fully understand the terms and conditions and other matters contained therein, I

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1	understand the effect this stipulation will have on my Registered Nurse License. I enter into		
2	this Stipulated Settlement voluntarily, knowingly and intelligently and agree to be bound by the		
3	Disciplinary Order and Decision of the Board of Registered Nursing. I further agree that a		
4	facsimile copy of this Stipulated Settlement and Disciplinary Order, including facsimile copies		
5	of signatures, may be used with the same force and effect as the originals.		
6	DATED: 06/12/03		
7			
8	Marslet B. Thomas		
9	Harold Brooks Thayer, Jr. License No. 417887		
10	Respondent		
11	DATED: 6/12/03		
12	ES 00		
13	Timothy J. Aspinwall		
14	Nossaman, Guthner, Knox & Elliott, LLP Respondent's Attorney		
15			
16	<u>ENDORSEMENT</u>		
17	The foregoing Stipulated Settlement and Disciplinary Order is hereby		
18	respectfully submitted for consideration by the Board of Registered Nursing of the Department		
19	of Consumer Affairs.		
20	DATED: 6-17-03		
21	BILL LOCKYER, Attorney General		
22	of the State of California		
23	1 things		
24	JOEL S. PRIMES		
25	Deputy Attorney General		
26	Attorneys for Complainant		
27	DOJ Docket/Matter ID Number: SA2003100993		
28	10003176.wpd		

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1	BILL LOCKYER, Attorney General of the State of California		
2	JOEL S. PRIMES, State Bar No. 42568 Deputy Attorney General		
3	California Department of Justice 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550		
4			
5	Telephone: (916) 324-5340 Facsimile: (916) 327-8643		
6	Attorneys for Complainant	·	
7	DEFODE 5	PYYE	
8	BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER A FEATING		
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
11	In the Matter of the Accusation Against:	Case No. 2002 - 174	
12	HAROLD BROOKS THAYER, JR.	ACCUSATION	
13	7301 Oakcreek Drive Stockton, California 95207		
14	Registered Nurse License No. 417887		
15	Respondent.		
16	Complainant alleges:	•	
17	PARTIE	e <u>s</u>	
18	1. Ruth Ann Terry, M.P.H., R.N	. (Complainant) brings this Accusation	
19	solely in her official capacity as the Executive Office	er of the Board of Registered Nursing	
20	(Board), Department of Consumer Affairs.		
21	2. On or about August 31, 1987,	the Board issued Registered Nurse License	
22	Number 417887 to Harold Brooks Thayer, Jr. (Respondent). The license was in full force and		
23	effect at all times relevant to the charges brought herein and will expire on September 30, 200		
24	unless renewed.		
25	STATUTORY PROVISIONS		
26		and Professions Code (Code) provides, in	
27	pertinent part, that the Board may discipline any lice	ensee, including a licensee holding a	

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temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.

- 4. Section 2761(a) of the Code states that the board may take disciplinary action against a certified or licensed nurse for unprofessional conduct.
- 5. Section 2762 of the Code states, in pertinent part, that in addition to other acts constituting unprofessional conduct within the meaning of this chapter [the Nursing Practice Act], it is unprofessional conduct for a person licensed under this chapter to do any of the following:
 - (a) Obtain or possess in violation of law, or prescribe, or except as directed by a licensed physician and surgeon, dentist, or podiatrist administer to himself or herself, or furnish or administer to another, any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code or any dangerous drug or dangerous device as defined in Section 4022.
 - (e) Falsify, or make grossly incorrect, grossly inconsistent, or unintelligible entries in any hospital, patient, or other record pertaining to the substances described in subdivision (a) of this section.
- 6. Section 4060 of the Code states, in pertinent part, that no person shall possess any controlled substance except that furnished to a person upon the prescription of a physician, dentist, podiatrist, or veterinarian.
- 7. Health and Safety Code section 11173(a) states, in pertinent part, that no person shall obtain or attempt to obtain controlled substances, or procure or attempt to procure the administration of or prescription for controlled substances by fraud, deceit, misrepresentation or subterfuge.
- 8. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

9. **Drugs**

"Demerol," a brand of meperidine hydrochloride, a derivative of pethidine, is a Schedule II controlled substance as designated by Health and Safety Code section 11055(c)(17).

FIRST CAUSE FOR DISCIPLINE

(Diversion, Possession and Self-Administration of Controlled Substances)

- 10. Respondent is subject to disciplinary action under Code section 2671(a) on the grounds of unprofessional conduct, as defined by Code section 2762(a), in that in and before November 1996, while on duty as a registered nurse in the Oncology Unit at St. Joseph's Medical Center, Stockton, California, Respondent did the following:
- a. Respondent obtained an unknown quantity of Demerol, a controlled substance, by fraud, deceit, misrepresentation or subterfuge in violation of Health and Safety Code section 11173(a), by withdrawing, for his own personal use, Demerol from the medical center's Pyxis medication stations located at Units 4W1 and 4W2 for various patients in amounts which were in excess of the doses ordered by each patient's physician and/or failing to chart the administration of the Demerol in the Medication Administration Record or the nurses' notes as more particularly set forth in paragraph 11 below.
- b. Respondent possessed an unknown quantity of Demerol, a controlled substance, in violation of Code 4060.
- c. Respondent self-administered an unknown quantity of Demerol, a controlled substance, without lawful authority therefor.

SECOND CAUSE FOR DISCIPLINE

(False Entries in Hospital, Patient or Other Records)

11. Respondent is subject to disciplinary action under Code section 2761(a) on the grounds of unprofessional conduct, as defined by Code section 2762(e), in that while on duty as a registered nurse in the Oncology Unit at St. Joseph's Medical Center, Stockton, California, Respondent falsified, made grossly incorrect, grossly inconsistent, or unintelligible entries in hospital and patient records in the following respects:

Patient I.D. No. 017371162:

a. On November 16, 1996, at 0742 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W2 for Patient I.D. No. 017371162,

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withdrew a second dose of Demerol 75 mg from the Pyxis at Unit 4W1 for the same patient (for a total of 150 mg of Demerol) at 0745 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 150 mg of Demerol.

- Ъ. On November 16, 1996, at 1420 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, withdrew a second dose of Demerol 75 mg from the Pyxis at Unit 4W2 for the same patient (for a total of 150 mg of Demerol) at 1435 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 150 mg of Demerol.
- c. On November 16, 1996, at 1733 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- d. On November 16, 1996, at 1945 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- On November 17, 1996, at 0730 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W2 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- f. On November 17, 1996, at 1030 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.

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On November 17, 1996, at 1327 hours, Respondent withdrew Demerol 75 g. mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, withdrew Demerol 50 mg from the Pyxis at Unit 4W2 for the same patient (for a total of 125 mg of Demerol) at 1355 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 125 mg of Demerol.

- h. On November 17, 1996, at 1611 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- i. On November 17, 1996, at 1721 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W2 for Patient I.D. No. 017371162, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 75 mg of Demerol.
- j. On November 17, 1996, at 1856 hours, Respondent withdrew Demerol 75 mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017371162, withdrew a second dose of Demerol 75 mg from the Pyxis at Unit 4W2 for the same patient (for a total of 150 mg of Demerol) at 1858 hours when the Physician's Order was for Demerol 75 mg intramuscularly every 3 to 4 hours as needed for pain, failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 150 mg of Demerol.

Patient I.D. No. 017370552:

On November 16, 1996, at 0922 hours, Respondent withdrew Demerol 50 k. mg, a controlled substance, from the Pyxis at Unit 4W1 for Patient I.D. No. 017370552, but failed to chart the administration of the Demerol in the Medication Administration Record or the nurses notes and/or otherwise failed to account for the disposition of the 50 mg of Demerol.

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PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Registered Nursing issue a decision:

- 1. Revoking or suspending Registered Nurse License Number 417887, issued to Harold Brooks Thayer, Jr.;
- 2. Ordering Harold Brooks Thayer, Jr. to pay the Board of Registered Nursing the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3;
 - 3. Taking such other and further action as deemed necessary and proper.

DATED: U/24/02

RUTH ANN TERRY, M.P.H., R.N.

Executive Officer

Board of Registered Nursing Department of Consumer Affairs

State of California

Complainant